novata

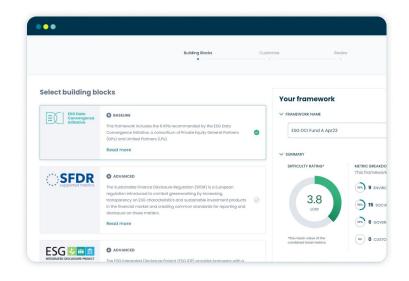
# Benchmark the Metrics That Matter

October 2023

## Benchmark the Metrics That Matter

With the broadest collection of private markets' ESG benchmarks, investors and portfolio companies now have real insights into the metrics that matter.

Novata Benchmarks includes 75 universal benchmarks and more than 350 sector benchmarks for the 2022 reporting period, and will grow organically driven by our contributor engagement on the metrics that matter.



#### Get Relevant Insights

Data used in Novata Benchmarks is actual reported data from Novata contributors, de-identified and aggregated, and specific to the private markets. We use both statistical analysis and the expertise of our internal experts to select and publish benchmark data. This includes reviewing data quality, achieving a minimum number of underlying data points/metric, and testing for variance across multiple dimensions.



#### **Know What Good Looks Like**

Use Novata Benchmarks to easily compare performance to the market or a sector, and gain clear insights into where you might under- or over-perform relative to your ESG strategy. Leverage these insights to manage risk, take action, and communicate value.

## Novata Universal Benchmarks\*

Universal benchmarks are calculated using all contributed data for each metric, excluding identified outliers and errors.

Annual Percent Attrition	Code of Conduct Policy	Equal Opportunity and Non-Discrimination	Head of DEI
Annual Percent Turnover	Code of Conduct Scope	Policy	Head of ESG
Anti-Competitive Practices	Competitive Wages and Benefits	Equitable Pay Policy	Health and Safety Policy
		ESG Committee	Human Rights Policy
Anti-Corruption and Anti-Bribery Policies	Conflict of Interest Policy	ESG Disclosure	Incidents of Corruption
Anti-Harassment Policy	Corporate Philanthropy Program	ESG Executive Remuneration	Incidents of Discrimination
Average Upskilling Hours	Cybersecurity Insurance	ESG Executive Sponsor	Information and
Biodiversity	Cybersecurity Policy	ESG Policy	Cybersecurity Management at Board Level
Biodiversity Impact	Data Privacy Policy	ESG Strategy	
Board Members—	DEI Policy	Flexible Workplace	Information Management and Cybersecurity
Independent	Disaster Recovery Plan	Policy	Cyborocounty
Business Continuity Plan	Diverse Recruiting Policy	Freedom of Association and Collective Bargaining Policy	Initiatives for Employee Development, Retention, and Attraction
Carbon Emissions Reduction Initiative	Employee Stock Ownership Policy	GHG Emissions Tracking	IT Security Management System
Case of Insufficient Action Taken to Address Standards of	Employee Survey	Glfts, Hospitality, and Entertainment Policy	Litigation
Anti-Corruption and Anti-Bribery	Environmental Policy	2	

<sup>\*</sup>Reflects Novata benchmark data available as of October 2023

## Novata Universal Benchmarks\*

Management Ethical Breaches

Net-Zero Target

Number of Data Breaches

Number of Convictions for Violations of Anti-Corruption and Anti-Bribery Laws

Number of LGBTQ Board Members

Number of Users/ Customers Affected by Data Breaches

Number of Women Board Members

Number of Women C-Suite Employees

Operations and Suppliers at Significant Risk of Incidents of Forced or Compulsory Labor % of Breaches Involving Personally Identifiable Information

% of Employees Responding to Employee Survey

% of Total Energy Consumed that is Renewable Energy

Policies for UNGC Principles and OECD Guidelines for Multinational Enterprises

Risk Management Policy

Supplier Assessment on Environmental or Social Practices

**Supplier Audits** 

Supplier Code of Conduct

Total Number of Board Members Total Number of C-Suite Employees

Unadjusted Gender Pay Gap

Violations of UNGC Principles and OECD Guidelines for Multinational Enterprises

Waste Generation Efforts

Whistleblower Policy

Workplace Safety Training



<sup>\*</sup>Reflects Novata benchmark data available as of October 2023

## Novata Sector Benchmarks\*

Sector benchmarks use the SICS sector classification.

\*Reflects Novata benchmark data available as of October 2023

**Consumer Goods** 

**Annual Percent Attrition** 

**Annual Percent Turnover** 

Anti-corruption and Bribery Policy

Anti-harassment Policy

Biodiversity

Chief Information Security Officer

Code of Conduct Policy

Code of Conduct Scope

Conflict of Interest Policy

Controversial Weapons

Cybersecurity Policy

Data Privacy Policy

Days Lost Due to Injury

**DEI Policy** 

**Emissions to Water** 

**Employee Code of Conduct Training** 

Employees

**Employee Survey** 

Equal Opportunity and Non-Discrimination Policy

**ESG Disclosure** 

ESG Policy

Fossil Fuel Sector Activity

**GHG Emissions Tracking** 

Health and Safety Policy

High Impact Climate Sector

Human Rights Policy

Incidents of Corruption

Net Change in Full-Time Employees
Due to Mergers and Acquisitions

Net-Zero Target

Number of Data Breaches

Number of Full-Time Employee Equivalents in Previous Year

Number of LGBTQ Board Members

Number of Women Board Members

Number of Women C-suite Employees

Number of Work-related Fatalities

Number of Work-related Injuries

Organic Net New Hires

Organic Net New Hires - Turnover

Paid Parental Leave Policy

Percentage of Employees Responding to Employee Survey

Percentage of Total Energy Consumed that is

Renewable

Policies for UNGC Principles and OECD Guidelines

for Multinational Enterprises

Renewable Energy Consumed,

from all Sources

Scope 1 Emissions

Scope 2 Emissions

Scope 3 Emissions

Supplier Audits

Tons of Hazardous Waste Generated

Total Energy Consumed, from all Sources

Total Net New Hires

Total Net New Hires - Turnover

Total Number of Board Members

Total Number of C-suite Employees

Turnover Number

Unadjusted Gender Pay Gap

Violations of UNGC Principles and OECD Guidelines

for Multinational Enterprises

Voluntary Employee Departures

Whistleblower Policy

#### **Financials**

**Annual Percent Attrition** 

**Annual Percent Turnover** 

Anti-corruption and Anti-Bribery Policies

Chief Information Security Officer

Code of Conduct Policy

**Controversial Weapons** 

Cybersecurity Policy

Data Privacy Policy

Days Lost Due to Injury

**DEI Policy** 

## Novata Sector Benchmarks\*

Employee Code of Conduct Training

**Employee Survey** 

**Employees** 

**ESG Policy** 

Fossil Fuel Sector Activity

**GHG Emissions Tracking** 

Health and Safety Policy

High Impact Climate Sector

Net Change in Full-Time Employees Due to M&A

Number of Women Board Members

Number of Women C-suite Employees

Number of Work-related Fatalities

Number of Work-related Injuries

Organic Net New Hires

Organic Net New Hires - Turnover

Paid Parental Leave Policy

Percentage of Employees Responding to Employee Survey

Percentage of Total Energy Consumed that is Renewable

Policies for UNGC Principles and OECD Guidelines for Multinational Enterprises

Renewable Energy Consumed, From All Sources

Total Energy Consumed, From All Sources

**Total Net New Hires** 

Total Net New Hires - Turnover

Total Number of Board Members

Total Number of C-suite Employees

Unadjusted Gender Pay Gap

Violations of UNGC principles and OECD Guidelines for Multinational Enterprises

Voluntary Employee Departures

Whistleblower Policy

#### Food & Beverage

**Annual Percent Attrition** 

Days Lost Due to Injury

**Employee Survey** 

Number of Women Board Members

Number of Work-related Fatalities

Number of Work-related Injuries

Total Energy Consumed, From All Sources

Total Number of Board Members

#### **Health Care**

**Annual Percent Attrition** 

**Annual Percent Turnover** 

Anti-corruption and Anti-bribery Policies

Anti-harassment Policy

Biodiversity

**Business Continuity Plan** 

Chief Information Security Officer

Code of Conduct Policy

Code of Ethics Policy

**Controversial Weapons** 

Corporate Philanthropy Program

Cybersecurity Policy

Data Privacy Policy

Data Security Training

Days Lost Due to Injury

DEI Policy

**Employee Code of Conduct Training** 

**Employee Survey** 

Employees

**Equitable Pay Policy** 

**ESG Disclosure** 

ESG Policy

**GHG Emissions Tracking** 

Fossil Fuel Sector Activity

Freedom of Association and Collective Bargaining Policy

Health and Safety Policy

High Impact Climate Sector

\*Reflects Novata benchmark data available as of October 2023



\*Reflects Novata benchmark data available as of October 2023

## Novata Sector Benchmarks\*

Hourly Employee Survey

**Human Rights Policy** 

Incidents of Corruption

Independent Board Directors

Initiatives for Employee Development, Retention,

and Attraction

Litigation

Management Ethical Breaches

Net Change in Full-Time Employees
Due to Mergers and Acquisitions

Net-zero Target

Number of Cases that Occurred

Number of Data Breaches

Number of Full-Time Employee Equivalents in Previous Year

Number of LGBTQ Board Members

Number of Women Board Members

Number of Women C-suite Employees

Number of Work-related Fatalities

Number of Work-related Injuries

Organic Net New Hires

Organic Net New Hires - Turnover

Paid Parental Leave Policy

Percentage of Breaches Involving Personally

Identifiable Information

Percentage of Employees Responding

to Employee Survey

Percentage of Total Energy Consumed

that is Renewable Energy

Percentage of Waste Generated that

was Hazardous

Policies for UNGC principles and OECD Guidelines for Multinational Enterprises

Scope 1 Emissions

Scope 2 Emissions

Scope 3 Emissions

Tons of Hazardous Waste Generated

Tons of Waste Generated

Total Energy Consumed, From All Sources

Total Net New Hires

Total Net New Hires - Turnover

Total Number of Board Members

Total Number of C-suite Employees

Total Number of Currently Pending Investigations

**Turnover Number** 

Unadjusted Gender Pay Gap

Violations of UNGC principles and OECD Guidelines for Multinational Enterprises

Voluntary Employee Departures

**Waste Generation Efforts** 

Whistleblower Policy

#### Infrastructure

**Annual Percent Attrition** 

**Annual Percent Turnover** 

Anti-corruption and bribery Policy

Anti-harassment Policy

Code of Conduct Policy

Cybersecurity Policy

Data Privacy Policy

Days Lost Due to Injury

**Employee Survey** 

**ESG Policy** 

Health and Safety Policy

Incidents of Corruption

Number of Full-Time Employee Equivalents in

**Previous Year** 

Number of Women Board Members

Number of Work-related Fatalities

Number of Work-related Injuries

Organic Net New Hires

Percentage of Employees Responding to Employee Survey

Percentage of Total Energy Consumed that is Renewable

Total Energy Consumed, From All Sources

**Total Number of Board Members** 



## Novata Sector Benchmarks\*

\*Reflects Novata benchmark data available as of October 2023

#### Renewable Resources

**Employee Survey** 

**Total Number of Board Members** 

#### **Resource Transformation**

Annual Percent Attrition

Annual Percent Turnover

Anti-corruption and Bribery Policy

Anti-harassment Policy

Code of Conduct Policy

Cybersecurity Policy

Data Privacy Policy

Days Lost Due to Injury

**Employee Survey** 

**ESG Policy** 

Incidents of Corruption

Number of Full-Time Employee Equivalents in Previous Year

Number of Women Board Members

Number of Women C-Suite Members

Number of Work-related Fatalities

Number of Work-related Injuries

Organic Net New Hires - Turnover

Percentage of Breaches Involving Personally Identifiable Information

Percentage of Employees Responding to Employee Survey

Policies for UNGC principles and OECD Guidelines for Multinational Enterprises

Renewable Energy Consumed, from all Sources

Scope 1 Emissions

Scope 2 Emissions

Tons of Hazardous Waste Generated

Total Energy Consumed, From All Sources

**Total Net New Hires** 

Total Net New Hires - Turnover

Total Number of Board Members

Total Number of C-Suite Employees

Violations of UNGC principles and OECD Guidelines for Multinational Enterprises

Whistleblower Policy

#### **Services**

**Annual Percent Attrition** 

Annual Percent Turnover

Anti-corruption and Anti-bribery Policies

Anti-corruption and Bribery Policy

Anti-harassment Policy

Average Upskilling Hours

Biodiversity

**Business Continuity Plan** 

Chief Information Security Officer

Code of Conduct Policy

Conflict of Interest Policy

Controversial Weapons

Corporate Philanthropy Program

Cybersecurity Policy

Data Privacy Policy

Days Lost Due to Injury

DEI Policy

**Emissions to Water** 

**Employee Code of Conduct** 

**Employee Survey** 

**Employees** 

Equal Opportunity and Non-discrimination Policy

Equitable pay Policy

**ESG Disclosure** 

**ESG Policy** 

Fossil Fuel Sector Activity

Freedom of Association and Collective

**Bargaining Policy** 

Health and Safety Policy

High Impact Climate Sector

**Human Rights Policy** 

Incidents of Corruption

## Novata Sector Benchmarks\*

Initiatives for Employee Development, Retention, and Attraction

Litigation

Net Change in Full-Time Employees
Due to Mergers and Acquisitions

Net Zero Target

Number of Data Breaches

Number of Full-Time Employee Equivalents in Previous Year

Number of LGBTQ Board Members

Number of Users/Customers Affected

Number of Women Board Members

Number of Women C-suite Employees

Number of Work-related Fatalities

Number of Work-related Injuries

Organic Net New Hires

Organic Net New Hires - Turnover

Paid Parental Leave Policy

Percentage of Breaches Involving Personally Identified Information

identified information

Percentage of Employees Responding to Employee Survey

Percentage of Total Energy Consumed that is Renewable Energy

Policies for UNGC principles and OECD Guidelines for Multinational Enterprises

Renewable Energy Consumed,

from all Sources

Scope 1 Emissions

Scope 2 Emissions

Scope 3 Emissions

Supplier Code of Conduct

Tons of Hazardous Waste Generated

Total Cost of the Workforce

**Total Employee Departures** 

Total Energy Consumed,

from all Sources

**Total GHG Emissions** 

**Total Net New Hires** 

Total Net New Hires - Turnover

**Total Number of Board Members** 

Total Number of C-suite Employees

**Turnover Number** 

Unadjusted Gender Pay Gap

Violations of UNGC principles and OECD Guidelines for Multinational Enterprises

Voluntary Employee Departures

Whistleblower Policy

**Workplace Safety Training** 

## Technology & Communications

**Annual Percent Attrition** 

Anti-corruption and Anti-Bribery Policies

Anti-corruption and Bribery Policy

Anti-harassment Policy

Biodiversity

**Business Continuity Plan** 

**Controversial Weapons** 

Corporate Philanthropy Program

Cybersecurity Insurance

Cybersecurity Policy

Data Privacy Policy

Days Lost to Injury

**Employee Survey** 

**Emissions to Water** 

Equal Opportunity and Non-discrimination Policy

**Equitable Pay Policy** 

ESG Committee

**ESG Disclosure** 

**ESG Executive Sponsor** 

**ESG Policy** 

Fossil Fuel Sector Activity

Head of DEI

Head of ESG



<sup>\*</sup>Reflects Novata benchmark data available as of October 2023

## Novata Sector Benchmarks\*

Health and Safety Policy

High Impact Climate Sector

**Human Rights Policy** 

Incidents of Corruption

Incidents for Employee Development, Retention, and Attraction

Management Ethical Breaches

Net-zero Target

Number of Data Breaches

Number of Users/Customers Affected

Number of Work-related Fatalities

Number of Work-related Injuries

Organic Net New Hires

Percentage of Breaches involving Personally Identifiable Information

Percentage of Total Energy Consumed that is Renewable Energy

Policies for UNGC principles and OECD Guidelines for Multinational Enterprises

Risk Management Policy

Scope 1 Emissions

Scope 2 Emissions

Scope 3 Emissions

Tons of Hazardous Waste Generated

Total Cost of the Workforce

Total Energy Consumed, from all Sources

Total Net New Hires

Unadjusted Gender Pay Gap

Violations of UNGC principles and OECD Guidelines for Multinational Enterprises

#### **Transportation**

**Annual Percent Turnover** 

Days Lost Due to Injury

**Employee Survey** 

Number of Full-Time Employee Equivalents in Previous Year

Number of Women Board Members

Number of Work-related Fatalities

Number of Work-related Injuries

Organic Net New Hires - Turnover

Total Energy Consumed, From All Sources

Total Net New Hires - Turnover

Total Number of Board Members

\*Reflects Novata benchmark data available as of October 2023



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